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Date: July 23, 1999
Docket No.: 2907-101P

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

As authorized by the inventors, transmitted herewith for filing is a patent application applied for on behalf of the inventors according to the provisions of 37 C.F.R. § 1.41(c).

Inventor(s): Robert Scott NIEBOER
Pedro (Peter) V. BALCARCE
Ivan N. ZHIDOV
Micah James ELDRED

For: AUTOMATED SYSTEM FOR CONDITIONAL ORDER TRANSACTIONS
IN SECURITIES OR OTHER ITEMS IN COMMERCE

Enclosed are:

- ☒ A specification consisting of thirty-four (34) pages
- ☒ ten (10) sheet(s) of formal drawings
- ☐ Certified copy of
- ☒ Executed Declaration in accordance with 37 C.F.R. § 1.64 is enclosed
- ☒ A verified statement to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27
- ☐ Preliminary Amendment
- ☐ Information Sheet

jc535 U.S. PTO
07/23/99

jc518 U.S. PTO
09/359686
07/23/99

09359686 "07/23/99"

Information Disclosure Statement, PTO-1449 and reference(s)

X Assignment with Recordation Cover sheet

The filing fee has been calculated as shown below:

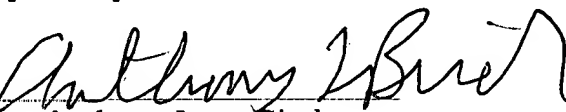
			LARGE ENTITY	SMALL ENTITY
	BASIC FEE		\$760.00	\$380.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	20 - 41 =	21	x 18 =	x 9 = \$189.00
INDEPENDENT CLAIMS	3 - 2 =	0	x 78 =	x 39 = \$
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$260.00	+ \$130.00
TOTAL			\$	\$569.00

X The application transmitted herewith is filed in accordance with 37 C.F.R. § 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application.

X A check in the amount of \$609.00 to cover the filing fees (\$569.00) and recording fee (\$40.00) for the Assignment is enclosed.

X Send correspondence to: ANTHONY LAWS BIRCH
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Respectfully submitted,

By 
Anthony Laws Birch
Reg. No. 26,122

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attachments

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